Action Framework for Implementation of the New Urban Agenda (AFINUA)
CPR subcommittee 23 Feb 2018

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Overview

Action Framework for Implementation of the New Urban Agenda (AFINUA)

- Mandate and timeline
- Main categories and key items of the framework
- Implementing NUA at regional level, with updates from ROAF, ROAP, ROAS, ROLAC, LO-EUR
- Implementing NUA at country level, with examples from Cameroon, Afghanistan, Egypt, Bolivia and Sweden
- Next steps
The essentials of the New Urban Agenda

Calls for a paradigm shift in how we plan, finance, develop, govern and manage our cities

Three transformative commitments
• Social inclusion and ending poverty
• Prosperity and opportunities for all
• Sustainable and resilient development

Three elements of effective implementation
• Urban governance structure
• Planning and managing urban space
• Means of implementation

Three cross cutting principles:
• Inclusion
• Innovation
• Integration
The need for evidence-based and practical guidance

Encourages UN-Habitat and other stakeholders to generate evidence-based and practical guidance for the implementation of the NUA and urban dimension of the SDG, in close collaboration with Member States, local authorities, major groups, and other relevant stakeholders, as well as through the mobilization of experts (¶128).
## AFINUA Timeline

<table>
<thead>
<tr>
<th>Date</th>
<th>Event Description</th>
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<tbody>
<tr>
<td>Apr-Sep 2016</td>
<td>Start of development process and consultations with UN-Habitat thematic Branches</td>
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<td>Jul 2016</td>
<td>External consultation in Surabaya (25 experts, nominated by ISOCARP and Penn IUR, and representatives of UN Regional Commissions)</td>
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<td>Oct 2016</td>
<td>Adjustments based on final version of the NUA</td>
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<td>Jan-Mar 2017</td>
<td>Further consultations and refinement</td>
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<td>Apr 2017</td>
<td>External consultation in New York (40 experts, representing Global Assembly of Partners, HIII Policy Units, HIII UN Task Team, HIII Global Task Force of local and regional governments, UN Reg Coms)</td>
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<td>May 2017</td>
<td>Presentation to CPR subcommittee on AFINUA</td>
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<td>AFINUA online (<a href="http://nua.unhabitat.org">http://nua.unhabitat.org</a>)</td>
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<td></td>
<td>AFINUA included in GC26 documentation</td>
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<td>Jun-Dec 2017</td>
<td>Regional consultations and action planning in 5 regions</td>
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<td>Dec 2017</td>
<td>General Assembly invites further development of AFINUA</td>
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<tr>
<td>Feb 2018</td>
<td>Presentation at AFINUA room at WUF 9 in Kuala Lumpur</td>
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<tr>
<td>Feb 2018</td>
<td>Update of progress presented to CPR subcommittee</td>
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</table>
5. Invites UN-Habitat, in accordance with its role as a focal point for sustainable urbanization and human settlements, including supporting the implementation and review of the New Urban Agenda, to collaborate with other United Nations programmes and entities, Member States, local authorities and relevant stakeholders, as well as through the mobilization of experts, to contribute to a United Nations system-wide strategy and continue generating evidence-based and practical guidance for the implementation of the New Urban Agenda and the related dimensions of the 2030 Agenda, as well as to further develop the action framework for the implementation of the New Urban Agenda, in close consultation with Member States, local authorities and stakeholders;
Five main categories of AFINUA
<table>
<thead>
<tr>
<th>Categories</th>
<th>Key Elements</th>
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<tbody>
<tr>
<td>National Urban Policies</td>
<td>Long-term demographic projections;</td>
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<td>Interscalar roles and responsibilities;</td>
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<td>Reduced territorial disparities;</td>
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<td>Jurisdictional coherence</td>
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<td>Urban Legislation, Rules and Regulations</td>
<td>Definition of space under urban control</td>
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<td>Legal basis for urban planning</td>
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<td>Buildability rights</td>
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<tr>
<td></td>
<td>Acquisition and protection of public space</td>
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<td>Building codes</td>
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<tr>
<td>Urban Planning and Design</td>
<td>Scope and content of the urban plan</td>
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<td></td>
<td>Sustainable density and mixed use</td>
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<td></td>
<td>Adequate public space and street connectivity</td>
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<td></td>
<td>Space for a variety of economic activities</td>
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<tr>
<td>Urban Economy and Municipal Finance</td>
<td>Map of fiscal/financial management cycle</td>
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<td></td>
<td>Prioritize and plan capital investment</td>
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<td></td>
<td>Opportunities for increased local revenue generation</td>
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<td>Local Implementation</td>
<td>Planned city extensions at appropriate scale</td>
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<td>Urban infills and retrofitting</td>
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<td>Instruments for capturing public benefit of public investment</td>
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<td>Support to community-led groups</td>
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**Action Framework for Implementation of the New Urban Agenda**

**AFINUA: 5 categories with 35 elements**

<table>
<thead>
<tr>
<th>Key Item</th>
<th>Description</th>
<th>Relevant Indicators</th>
<th>Lead Actor(s)</th>
<th>Links to NUA</th>
<th>Links to AFINUA</th>
</tr>
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<tbody>
<tr>
<td>1.1</td>
<td>Formulate medium and long term urban demographic projections and trends, with geographic disaggregation, taking into consideration the interplay of economic, social and environmental forces</td>
<td>SDG-11.a.1 (direct)</td>
<td>Central gov’t, Subnat’l gov’t, Professionals, Academics and researchers, Private sector</td>
<td>Paras 2, 13(c), 13(g), 15(c), 16, 19, 61, 62, 63, 72, 77, 80, 94, 95, 101, 123, 156, 157, 158, 159</td>
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1: National Urban Policies

**Item 1.3**
Define the roles and jurisdictional responsibilities of all levels of government and local authorities regarding urbanization and urban planning and management

- Defining who does what
- Subsidiarity principle
Item 2.6
Develop equitable and legal instruments to capture and share the increase in land and property value generated as a result of urban development processes, infrastructure projects and public investments, ensuring that these do not result in unsustainable land use and consumption.

- Buildability rights
- Re-investing part of the increased value for the public good
Item 3.1
Set up a planning and design process that is evidence based, integrated and participatory

- Stakeholder engagement
- Horizontal integration
- Vertical integration
Item 4.1
Establish principles for enhancing the role of local government in fostering inclusive, equitable and sustainable urban development and strengthen local leadership capacity for inclusive municipal finance.
5: Local Implementation

Item 5.4
Provide integrated, efficient and equitable urban service frameworks, particularly in unplanned, built urban areas

- Connectivity
- Integrated services
- Green and public spaces
Implementing the New Urban Agenda in AFRICA

» Ministerial Dialogue on the Implementation of the New Urban Agenda (NUA) in Africa held at the 26th session of UN-Habitat Governing Council in May 2017;

» Side event on "Implementation of NUA in Africa: A Harmonized Strategic Framework" organized jointly with UNECA and the African Union Commission (AUC) during GC26;

» NUA implementation framework and NUA monitoring framework for Africa being developed; both documents to be published jointly by UN-Habitat, UNECA and AUC;

» Meeting of African Member States in Cape Town, South Africa, Nov 2017, to discuss draft NUA implementation and monitoring frameworks; organized jointly with UNECA and AUC;

» Event at WUF9 with UNECA and AUC in February 2018 to further discuss and approve the draft NUA implementation and monitoring frameworks;

» Ongoing Distribution of AFINUA to all government focal point ministries;

» Support to develop Habitat Country Programme Documents that integrate SDG 11 and the NUA in Angola, Cameroon, Ethiopia, Mozambique, South Sudan and Kenya;

» Catalytic funding to support consultations on the localization of NUA in South Africa;
Country consultations – example: South Africa
Implementing the New Urban Agenda in CAMEROON

1. National urban development policies
   - Development of the National Urban policy
   - Strengthening Urban Rural Linkages
   - Substantive inputs into the national housing policy
   - Substantive inputs into the National Spatial Development Framework and regional land use plans

2. Urban rules and regulations
   - Review and contribution to the improvement of urban renewable regulation
   - Analysis of the urban legislation regulating urban planning/urbanism in Cameroon

3. Urban planning and design/well planned and controlled urbanisation
   - Etudes d’aménagement du corridor urbain des abords de l’autoroute Yaoundé-Nsimalen
   - City wide public space for Bamenda (Bamenda City Council)
   - Substantive inputs into the national slums reduction and prevention strategy

4. Urban economy and finance
   - Improving understanding of financing models of plan city extension and infills (Workshops and study tours)
   - Etude de valorisation du patrimoine foncier de la MAETUR
   - Appui à la réorientation stratégique de la MAETUR

5. Local implementation
   - Participatory Slums Upgrading in Yaoundé 6, Kribi and Bamenda (FEICOM and MINHDU)
   - Building Community resilience to climate change in Sisia neighbourhood, Bamenda (FEICOM)
   - Urban delinquency diagnostic and prevention strategy in Bafoussam and Ebolowa (MINHDU and City Councils)
   - Organise training sessions for FEICOM staff
AFINUA 1/5 National Urban Policies
Cameroon National Urban Policy – Expected Results

1. **Improved knowledge** on Cameroon National Urban Policy

2. **Guidance** for the urbanisation process

3. Building **legal foundations, institutional capabilities & financial instruments**

4. Promoting **higher density & integrated urban development**

5. Strengthening **connectivity & cooperation** between cities

6. Supporting: (i) revision of **planning tools**

   (ii) development of **baseline data, monitoring and evaluation, cartography & GIS**

   (iii) **capacity building** for urban planners and all urban stakeholders
Implementing the New Urban Agenda in ASIA & PACIFIC

- Strengthened roles of UN-Habitat in **Bangkok, China and Delhi** related to UN reform;
- Strengthening collaboration with **ESCAP** and other UN and multi-lateral entities, and to develop a joint roadmap in support of SDGs and NUA;
- Convening **Regional Partners Forum** “Strategies and Priorities to Ensure the Implementation of the NUA in Asia and the Pacific” in November 2017;
- Promoting **UNDG A-P RCM Task Team on Sustainable Urbanization** to assist the UNCTs and to improve the quality of UNDAFs, etc.
- Follow-up on the outcome and implementation of the partnership established for the 2018 **HLPF** “Transformation towards sustainable and resilient societies”;
- Supporting regional programmes in response to prioritized areas of Sendai Framework for DRR and UNFCC/Paris Declaration on Climate Change;
- Partnerships with ASEAN, SAARC, SOPAC, APMCHUD, Asia-Pacific Forum on Sustainable Development (APFSD) and financial institutions (ADB, WB, AIIB, NDB, Exim Banks);
- Alliance with **World Bank**, based in Singapore for financing NUA implementation;
- Implementation of catalytic funding in **Afghanistan and Pakistan**;
- Regional initiative Sustainable cities ASEAN-EU (tbc)
Implementing the New Urban Agenda in Afghanistan

1. National urban development policies
   - Development of the National Urban Policy
   - Development of the Informal Settlements Upgrading Policy
   - Development of the National Housing Policy
   - Substantive inputs into the Sub National Governance Policy

2. Urban rules and regulations
   - Development of regulations for registration of urban informal properties
   - Revision of municipal service charges regulations
   - Development of technical procedures for the identification and provision of land to returnees, IPDs and families of martyrs

3. Urban planning and design/well planned and controlled urbanisation
   - Development of Strategic Municipal Action Planning and Strategic District Action Planning
   - Development of urban design and housing plans for settlements of displaced populations
   - Development of a street addressing system

4. Urban economy and finance
   - Development of a land value zoning procedure
   - Development of Service charge Management Information System (SMIS)

5. Local implementation
   - Creating jobs through upgrading of public spaces
   - Enhance safety through upgrading of neighbourhoods (Safer Cities Programme)
   - Surveying and registration of urban informal properties
   - Support to produce and distribute invoices
   - Implementing urban service and infrastructure projects at community, district and municipal level
   - Linking Land tenure, municipal finance and urban planning systems
   - Building community resilience in hazardous areas
Transformation towards New Urban Agenda

Adopted NUA (U-NPP) as National priority

State/ Future of Afghan Cities

State of Afghan Cities report 2015 (Volume-I English)

Home > Books > State of Afghan Cities report 2015 (Volume-I Eng

Overview
Afghanistan’s future is urban. The population is expected to double within the next 15 years with a large share of people living in cities. In order to manage economic and social development, the State of Afghan Cities report presents conditions in all of Afghanistan to over 8 million people. It shows that social and economic development:

1. Requires sustainable solutions
2. Involves all stakeholders
3. Promotes inclusive development

The report highlights the importance of urban planning and infrastructure development to ensure a positive future for Afghanistan.

UN-HABITAT
Implementing the New Urban Agenda in ARAB STATES

» Regional Implementation Plan, incorporating AFINUA principles following launch (2016) of the Arab Strategy for Housing and Sustainable Urban Development by the Council of Housing and Construction Ministers of the League of Arab States (22 Arab States);

» Plan endorsed at the Second Arab Ministerial Forum on Housing and Sustainable Urban Development (AMFHUD) in December 2017 in Morocco;

» Catalytic funding to support national consultations in Morocco;

» Building partnership with ESCWA following up on the collaboration during Habitat III and joint preparations for the Arab regional report;

» Discussing with the League of Arab States and ESCWA on the engagement of ESCWA within the AMFHUD secretariat, while exploring possibilities of preparations of an MoU with ESCWA to support the regional implementation of NUA.

» AFINUA principles are also reflected in region's activities, particularly in integrated programmes in Egypt and Saudi Arabia.
<table>
<thead>
<tr>
<th>National Policy</th>
<th>Governance &amp; Legislation</th>
<th>Urban Planning</th>
<th>Local Financing</th>
<th>Local Implementation</th>
</tr>
</thead>
<tbody>
<tr>
<td>National Urban Forum (ASUD)</td>
<td>Legal Framework for local financing (SECO)</td>
<td>Cairo Metropolitan Plan (GoE) Regional SPs (GOE)</td>
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<td>National Strategy for informal Settlements (GoE)</td>
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<tr>
<td>National Urban Policy (SECO)</td>
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<tr>
<td>Sub National</td>
<td>Legal Framework for decentralised Planning (GoE)</td>
<td>Small Cities (GoE)</td>
<td>City Level modalities for local financing (SECO)</td>
<td>Public Transport</td>
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<td>New City (ASUD)</td>
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<td>(Drosos/GoE)</td>
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<td>City</td>
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<tr>
<td>Community</td>
<td>Ban’a Land Readjustment (ASUD)</td>
<td>Ban’a Planned City Extension pilot (ASUD)</td>
<td>2 Pilots (SECO)</td>
<td>Informal Settlements pilot (GoE) Local Economic Development in 2 pilots (SECO)</td>
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<td>2 pilots (SECO)</td>
<td>2 PCC Pilots (SECO)</td>
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Implementing the New Urban Agenda in LATIN AMERICA & CARIBBEAN

» Compiling Regional Action Plan (RAP) and Sub-Action Plan (for the Caribbean); opening new opportunities for our Agency in the field;

» Discussing and strategizing with MINURVI and ECLAC on positioning RAP at WUF9 Feb 2018, at Housing Regional Meeting July 2018;

» Participation at regional working group on the Platform/Observatory associated with RAP; ECLAC and UN-Habitat to support MINURVI on this aspect;

» Defining a research agenda for LAC to support Platform/Observatory and based on needs identified in the regional report; opportunity to share experience and knowledge;

» Strengthening and aligning development of MoU with ECLAC to better respond to MINURVI and country demands regarding the implementation of AFINUA;

» Preparing proposal for Joint Programme/Joint Unit to support RAP development with ECLAC; inter-agency collaboration and increased capacity to coordinate with ECLAC in all its mechanisms (RCM, R-UNDG, SDG Platform etc.)

» Catalytic funding to support national consultations in the Dominican Republic; opportunity to expand the pilot phase to additional countries in the future;

» Provide technical expertise to support sub-regional/national/city action plans taking advantage of UN-Habitat’s methodologies in data collection (CPI) and reporting;
World Urban Forum
Kuala Lumpur
7–13 Feb 2018

Side Event
Implementing the New Urban Agenda in Latin America and the Caribbean

DATE:
Thursday, February 8, 2018

TIME:
9 – 10 AM

PLACE:
AFINUA room, Kuala Lumpur Convention Center

A space for the presentation and exchange of concrete advances and projects that support the implementation and monitoring of the New Urban Agenda, the 2030 Agenda and the SDGs in Latin America and the Caribbean. ECLAC, UN-Habitat and the MINURVI will present their key regional initiatives, including the Regional Action Plan. A moderated panel allows participants to learn directly from local experiences.
AFINUA application in BOLIVIA

Programme support from SIDA (USD 7.5m over 3 yrs) with the following expected accomplishments:

• **Expected Accomplishment 1** - Government of Bolivia has a document of National Urban Policy that supports the integrated development of cities, aligned to the New Urban Agenda criteria and based on four pillars: financial management; system of planning and urban design; appropriate legal framework and institutional governance; and capacity building and training for the implementation of the urban policy.

• **Expected Accomplishment 2** - Government of Bolivia has operational capacity for the local implementation of the strategy of operations and integrated urban projects, articulated to strategic sectorial projects (housing, transportation, integral improvement of neighbourhoods) and with impact in increasing multidimensional prosperity and reduction of poverty.

• **Expected Accomplishment 3** - The Bolivian Government has at least three model local integrated processes in place that are applying the New Urban Agenda and have a high impact on women's empowerment, the promotion of young people and the construction of an environment conducive to the generation of urban jobs.
Implementing the New Urban Agenda in EUROPE

» AFINUA presented on 20 October 2017 at joint **RCM and R-UNDG** meeting in Venice);

» AFINUA discussed at **EU/MS Directors General**, responsible for Urban Matters, meeting under Estonian Presidency of the Council of the EU, following up on the EU Urban Development Group meeting in September 2017.

» Ongoing discussions with **DG-Regio** of European Commission regarding indicator-based monitoring of the urban dimension of the 2030 Agenda in regions and cities of the European Union

» Consultations underway for similar conversation with the **ECE CHLM** in support of AFINUA;

» Consulting with **regional groups of local governments** on the need for UN-Habitat’s expertise and services in support of AFINUA in a series of formats.
Implementation in SWEDEN

Climate, energy & environment

Framework:
- Localizing SDGs

Goals:
- SDG11.5: Reduce the number of people affected and substantially decrease the direct economic losses caused by disasters, with a focus on protecting the poor and people in vulnerable situations.
- SDG11.6: Reduce the adverse per capita environmental impact of cities, by paying special attention to air quality and waste management.

Tools:
- Improve urban ecosystem and environmental services
- Urban deltas, coastal areas as ecosystems' providers
- Density and compactness to prevent urban sprawl
- Urban-rural linkages for a circular economy
- Rehabilitating water resources
- Reduce waste generation
- Renewable and affordable energy for buildings and construction modes
- Resource efficiency of raw and construction materials
- Resilient and resource-efficient infrastructure
- Proactive risk-based, and all-of-society approaches
- Investments in sustainable systems for water, waste, sanitation, hygiene, sewage, and litter waste
- Integrate food security and nutrition needs

LOCAL IMPLEMENTATION OF THE SDGs & THE NEW URBAN AGENDA
TOWARDS A SWEDISH NATIONAL URBAN POLICY

NEW URBAN AGENDA

UN-Habitat
FOR A BETTER URBAN FUTURE
Theme: Cities 2030, Cities for All: Implementing the New Urban Agenda

AFINUA room – inclusion, innovation, integration,
Next Steps

• Continue generating evidence-based and practical guidance for the implementation of NUA and related dimensions of the 2030 Agenda
• UN-System Collaborative Framework
• Further develop and implement regional action plans and collaboration with Regional Commissions
• Further develop and implement country programmes and support to United Nations Country Teams
• 1st Quadrennial Report on NUA implementation in 2018 and reporting framework towards 2036
Thank You!

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