Update on Habitat III Preparatory Process

NAIROBI
29 February 2016
CONCEPTUAL FRAMEWORK

ENGAGEMENT
- Ensuring inclusive debate
- Sharing urban solutions
- Building consensus
- Raising awareness
  - PARTICIPATION
  - PARTNERSHIP
  - ADVOCACY AND OUTREACH
  - COMMUNICATIONS

OPERATIONS
- Transparency
  - Accountability
  - Efficiency
  - Effectiveness
  - Innovation
    - Creativity
    - Event Footprint
  - FINANCE
  - LOGISTICS

POLICY
- Commitment
- Process
- Action
- Change
  - Securing renewed political commitment
  - Assessing accomplishment to date
  - Addressing poverty
  - Identifying new and emerging challenges

KNOWLEDGE
- Monitoring
- Research
- Data
- Information
  - Capturing knowledge
  - Creating knowledge
  - Organising/access knowledge
  - Use knowledge
    - Disseminate data
    - Capacity building

HABITAT III
Quito - October 2016

2016-2036
LEGACY
THE NEW URBAN AGENDA

HABITAT III
1996-2016
HABITAT II
CITY SUMMIT - HABITAT AGENDA

HABITAT I
1976-1995
PEOPLE’S FORUM - HUMAN SETTLEMENTS
A ROAD TOWARDS A NEW URBAN PARADIGM


**KNOWLEDGE**
Monitoring & Research

**POLICY**
Commitment & Action

**ENGAGEMENT**
Urban Solutions

**ENGAGEMENT**
Creating Consensus

**PREPCOMS**

**GLOBAL MILESTONES**

- National Reports
  - Regional Reports
    - Global Report
  - Regional/Thematic Conferences
    - Policy Units
- National Urban Forums / National Urban Campaigns / Journalism Academies
- World Urban Campaign - Urban Thinkers Campuses
  - General Assembly of Partners
- PrePreCom3
- Financing for Development
  - SDG’s COP21
- New Urban Agenda
  - Zero Draft

**HABITAT III**
QUITO - OCTOBER 2016
United Nations Conference on Housing and Sustainable Urban Development
1. Policy
Policy Units

The preparatory process of Habitat III should mobilize all expertise on sustainable urban development which represents various constituent groups and stakeholders, and whose selection is guided by geographical and gender balance, as well as qualitative criteria in terms of contribution to the Habitat III preparatory process. The policy units would:

- Bring together high-level expertise to explore state-of-the-art research and analysis;
- Identify good practices and lessons learned; and
- Develop independent policy recommendations on particular issues regarding sustainable urban development.

The main tasks of the Policy Units are to:

- Identify the challenges, including the structural and policy constraints, to the New Urban Agenda within the issues discussed by each policy unit;
- Identify the policy priorities and critical issues for the implementation of the New Urban Agenda within the issues discussed by each policy unit; and
- Develop action-oriented recommendations for the implementation of the New Urban Agenda.
Composition and Work of the Policy Units

Composition

- A maximum of 20 experts each.
- Individual experts from a variety of fields, including academia, government, civil society and other regional and international bodies.
- Panelists are members in their personal capacity, however a wide variety of profiles are sort to ensure representation of all major groups.
- Regional and gender balance should be ensured.

Work of the Policy Units
Policy units have been meeting physically and virtually for the last five months. They submitted the Policy Paper Frameworks by 31 December 2015.
# Lead organizations and experts

<table>
<thead>
<tr>
<th>Policy Unit 1: The Right to the City and Cities for All</th>
<th>Development Bank of Latin America (CAF)</th>
<th>Action Aid</th>
</tr>
</thead>
<tbody>
<tr>
<td>Policy Unit 2: Socio-Cultural Urban Framework</td>
<td>Institut Africain de Gestion Urbaine (IAGU)</td>
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<tr>
<td>Policy Unit 4: Urban Governance, Capacity and Institutional Development</td>
<td>LSE Cities, London School of Economics and Political Science</td>
<td>United Cities and Local Governments (UCLG)</td>
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<tr>
<td>Policy Unit 5: Municipal Finance and Local Fiscal Systems</td>
<td>Lincoln Institute of Land Policy</td>
<td>World Bank</td>
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<tr>
<td>Policy Unit 7 Urban Economic Development Strategies</td>
<td>Bartlett Development Planning Unit (DPU) – University College London</td>
<td>Korea Research Institute for Human Settlements (KRIHS)</td>
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<td>Policy Unit 8: Urban Ecology and Resilience</td>
<td>Rockefeller Foundation</td>
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<td>United Nations Environment Programme (UNEP)</td>
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<td>Policy Unit 9: Urban Services and Technology</td>
<td>Association of German Cities</td>
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<td>Policy Unit 10: Housing Policies</td>
<td>Habitat for Humanity</td>
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<td>Inter-American Development Bank (IDB)</td>
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Policy Paper Frameworks

- Written comments to the Policy Paper Frameworks received by 15 February 2016:
  - Brazil
  - Colombia
  - Ecuador
  - European Union and Member States
  - Finland
  - France
  - Germany
  - Japan
  - Myanmar
  - Netherlands
  - Norway
  - Peru
  - Russia
  - Senegal
  - Thailand
  - United States of America
  - Caritas International
  - Ecoagriculture Partners
  - Habitat International Coalition
  - Helpage International
  - Institute For Global Environmental Strategies
  - Institute For Housing And Urban Studies, Erasmus University Of Rotterdam
  - International Council For Science And Future Earth
  - Techo
  - Union For International Cancer Control
  - World Future Council
  - World Wildlife Fund
Policy Papers

- Co-leaders virtual meeting, as well as on-line exchange of views, in order to increase coherence and coordination among the Policy Units and the Policy Papers (January-February 2016)
- Contribution of the United Nations Task Team on Habitat III to the Policy Units through the UN advisors
- Policy Papers to be published on the Habitat III website in the first week of March after submission by the Policy Units on 29 February 2016
- Policy Papers will be translated into the six United Nations official languages during the months of March and April 2016
- The Secretary-General of Habitat III will convene all Policy Unit co-leaders and experts in Prague during the European Regional Conference for a joint session to evaluate the Policy Units exercise, as well as for a recognition of the work (photo family, press release…)
- The Policy Unit co-leaders and experts may participate in the open-ended informal consultative meetings in New York the week of 25-29 April 2016
Thematic meetings

Civic Engagement
Tel-Aviv, Israel
7 September 2015
Learn More

Metropolitan Areas
Montreal, Canada
6-7 October 2015
Learn More

Intermediate Cities
Cuenca, Ecuador
9-11 November 2015
Learn More

Sustainable Energy and Cities
Abu Dhabi, UAE
20 January 2016
Learn More

Financing Urban Development
Mexico City, Mexico
9-11 March 2016
Learn More

Public Spaces
Barcelona, Spain
4-5 April 2016
Learn More

Informal Settlements
Pretoria, South Africa
7-8 April 2016
Learn More
Regional meetings

Regional Meetings

Asia-Pacific
Jakarta, Indonesia
21-22 October 2015
Learn More

Africa
Abuja, Nigeria
24 - 26 February 2016
Learn More

Europe
Prague, Czech Republic
16-18 March 2016
Learn More

Latin America and the Caribbean
Toluca, Mexico
18-20 April 2016
Learn More
2. Knowledge
Regional and national preparations

- Support for national report preparation by LDCs
- Support National Urban Forums
- Support Urban Journalism Academies
- Expert group meetings for the Regional Reports being organized
- Revision and follow-up of national reports per region
- Regional and national urban campaigns
LDCs supported – national reports

Supported

- Burkina Faso
- Burundi
- Central African Republic
- Chad
- Comoros
- DR Congo
- Gambia
- Lesotho
- Liberia
- Madagascar
- Malawi
- Niger
- Rwanda
- Sierra Leone
- South Sudan
- Sudan
- Togo
- Uganda
- Zambia

Expecting funds

- Benin
- Ethiopia
- Mali
- Mozambique
- Senegal
- Somalia
- Tanzania
- Bhutan
- Cambodia
- Lao
African region

- **Habitat III National reports**: Algeria, Angola, Botswana, Burkina Faso, Burundi, Ethiopia, Kenya, Madagascar, Malawi, Mauritius, Morocco, RD Congo, Rwanda, Senegal, South Africa, Sudan, Uganda, Zambia, Zimbabwe

- **National Urban Forums**: Kenya, Cameroon, Ethiopia, Nigeria, Liberia, Burkina Faso, Madagascar, Senegal (March 2016)

- **Expert Group Meetings** for finalization of Regional Report in Addis Ababa, January 2016

- **Habitat III Africa Regional Meeting in Abuja** from 24 to 26 February 2016

- **Habitat III Thematic Meeting [Informal Settlements]** Johannesburg from 7 to 8 April 2016

- All information at: [https://www.habitat3.org/the-new-urban-agendaregions/Africa](https://www.habitat3.org/the-new-urban-agendaregions/Africa)
Asia and the Pacific region

- **Habitat III National reports**: China, Japan, Indonesia, Republic of Korea, Pakistan, Lao, Papua Guinea, Bhutan, Sri Lanka.
- **National Urban Forums**: Pacific Urban Forum, Asia-Pacific Urban Forum
- **Expert Group Meetings** for finalization of Regional Report in 2015 and 2016
- **Habitat III Asia and Pacific Regional Meeting in Jakarta** (Indonesia) in October 2015

- All information at: [https://www.habitat3.org/the-new-urban-agenda/regions/Asia-and-the-Pacific](https://www.habitat3.org/the-new-urban-agenda/regions/Asia-and-the-Pacific)
Europe and North America

- **Habitat III National reports**: Armenia, Czech Republic, Finland, France, Spain, Turkey, Belgium, Netherlands, United States of America, Germany.
- **National Urban Forums**: Armenia, Moldova, Belarus, Russia, Tajikistan, Serbia, Czech Republic, Israel.
- **Habitat III Regional Meeting in Prague** (Czech Republic) in March 2016
- **Habitat III Thematic Meetings** in Tel-Aviv (Israel), Montreal (Canada) and Barcelona (Spain) on Public Space, 4-5 April 2016

All information at: [https://www.habitat3.org/the-new-urban-agenda/regions/Europe-and-North-America](https://www.habitat3.org/the-new-urban-agenda/regions/Europe-and-North-America)
Latin America and the Caribbean

- **Habitat III National reports**: Ecuador, Jamaica, Mexico, Brazil, Chile, Colombia.
- **National Urban Forums**: Caribbean Urban Forum, Bolivia, Chile, Barbados, Colombia.
- **Expert Group Meetings** for finalization of Regional Report in Paraguay and Barbados in 2015.
- **Habitat III Regional Meeting** in Toluca (Mexico) in 18-20 April 2016
- **Habitat III Thematic Meetings** in Cuenca (Ecuador) and Mexico D.F in 9-11 March (Mexico)

- All information at: [https://www.habitat3.org/the-new-urban-agenda/regions/Latin-America-and-the-Caribbean](https://www.habitat3.org/the-new-urban-agenda/regions/Latin-America-and-the-Caribbean)
Western Asia

- **Habitat III National reports**: Egypt, Iran, Iraq, Jordan, Morocco, Saudi Arabia, Sudan
- **National Urban Forums**: Egypt, Lebanon, Saudi Arabia.
- **Expert Group Meetings** for finalization of Regional Report in Cairo (Egypt)
- **Habitat III Thematic Meeting** in Abu Dhabi (United Arab Emirates)

- All information at: [https://www.habitat3.org/the-new-urban-agenda/regions/Western-Asia](https://www.habitat3.org/the-new-urban-agenda/regions/Western-Asia)
3. Intersessional process
## Timeline April-July 2016

<table>
<thead>
<tr>
<th>25-29 April</th>
<th>16-20 May</th>
<th>6-10 June</th>
<th>29 June- 1July</th>
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<tr>
<td><strong>Open-ended informal consultative meetings</strong></td>
<td><strong>Informal hearings with local authorities associations</strong></td>
<td><strong>Informal hearings with stakeholders</strong></td>
<td><strong>Informal intergovernmental negotiations</strong></td>
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<td><strong>5 days</strong></td>
<td><strong>2 days</strong></td>
<td><strong>2 days</strong></td>
<td><strong>3 days</strong></td>
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<tr>
<td>+ <strong>Informal intergovernmental negotiations</strong></td>
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<td><strong>3 days</strong></td>
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**Draft zero**
29 April-6May

**Surabaya PrepCom3**
25-27 July
16 February 2016

Excellencies,

We have the honour to write this letter in our capacities as co-chairs of the Preparatory Committee for the United Nations Conference on Housing and Sustainable Urban Development (Habitat III).

The General Assembly, by its resolution 70/210 of 22 December 2015, reiterated its invitation to the Bureau of the Preparatory Committee to prepare the draft outcome document of the Conference on the basis of inputs from broad regional and thematic consultations, as well as the policy recommendations elaborated by the policy units and comments thereon received by participating States and all stakeholders. It further decided that a process of open-ended informal consultative meetings should be held for five days in April 2016, with interpretation services on an as available basis, before the submission of the draft outcome document, in order to provide opportunity for feedback on the conclusions of the policy units and the thematic and regional meetings.

Taking note of this decision, it is our pleasure to convene the open-ended informal consultative meetings in New York from 25 to 29 April 2016. Details on the provisional programme and logistics will be shared in the next weeks.

The General Assembly also invited the Bureau of the Preparatory Committee in the same resolution 70/210 to convene further informal intergovernmental negotiations in New York, with interpretation services on an as available basis, as required, after the submission of the draft outcome document, in the most efficient and effective manner, for three days in May, June and July 2016 respectively.

Further, the General Assembly, in operative paragraph 15 of the same resolution 70/210, invited representatives of local authorities’ associations in the month of May 2016 and representatives of major groups and other stakeholders in the month of June 2016 to two-day informal hearings, with interpretation services on an as available basis, to exchange views with countries on the zero draft of the outcome document of Habitat III, taking full advantage of the intergovernmental negotiations in the months of May and June 2016 and building on the inclusive engagement modalities of Habitat II.

The Bureau of the Preparatory Committee has agreed on convening the informal hearings and negotiations as follows:
Informal hearing with representatives of local authorities’ associations: 16-17 May 2016
Informal intergovernmental negotiations: 18-20 May 2016
Informal hearing with representatives of major groups and other stakeholders: 6-7 June 2016
Informal intergovernmental negotiations: 8-10 June 2016
Informal intergovernmental negotiations: 29 June-1 July 2016

It is our view that these informal meetings will contribute to debates towards a concise, focused, forward-looking and action-oriented Habitat III outcome document, which shall reinvigorate the global commitment to and support for housing and sustainable urban development and the implementation of a New Urban Agenda.

Please accept, Excellencies, the assurances of our highest consideration.

H.E. Ms. María de los Ángeles Duarte
Minister of Urban Development and Housing of Ecuador
(Co-Chair)

Ms. Maryse Gautier
Auditor, Ministry of Sustainable Development of France
(Co-Chair)
4. Engagement
Stakeholders’ participation
(Resolution A/C.2/70/L.61)

- General Assembly of Partners for Habitat III to support and improve stakeholders’ engagement in and contributions to the Habitat III process and the Conference itself in OP10

- Two-day informal hearings with representatives of major groups and other stakeholders in the month of June 2016 in OP15

- Approval of their role in the Rules of procedure of the Conference in Annex I

- Modalities of accreditation and participation, including special accreditation in Annex II

- Provisions of paragraphs 14 to 16 of General Assembly resolution 67/290 apply mutatis mutandis to the Conference and its preparatory process in Annex II
Local authorities participation
(Resolution A/C.2/70/L.61)

- Second World Assembly of Local and Regional Authorities in OP9:
  
  Encourages effective contributions from and improved participation of all relevant stakeholders, including local authorities, at all stages of the preparatory process and at the conference itself, building on the positive experience enabled by the rules and procedures of the Governing Council of UN-Habitat and the inclusive engagement modalities of Habitat II, and notes the organization of the Second World Assembly of Local and Regional Authorities back to back with the Habitat III Conference in recognition of the role of local authorities and communities in sustainable urban development and in the implementation of the New Urban Agenda.

- Two-day informal hearings with representatives of local authorities’ associations in the month of May 2016 in OP15

- Rule 64 of the rules of procedure

  Representatives of local authorities accredited to the Conference, in accordance with the arrangements set up to that effect in Annex II of the present resolution, may participate, without the right to vote, in the deliberations of the Conference, the Main Committee and, as appropriate, any other committee or working group, on questions within the scope of their activities.

- Annex II, OP9: The registration of municipal and other local or regional authorities can be arranged under the auspices of an accredited non-governmental organization or as members of a national delegation through its permanent mission to the United Nations.
Stakeholders’ accreditation

- **ECOSOC Consultative Status**: Interested non-governmental organizations and major groups, including local authorities and other stakeholders, whose work is relevant to the subject of the Conference, that are currently in consultative status with the Economic and Social Council and that wish to participate in the Conference or in sessions of its Preparatory Committee should pre-register on the website of the Conference from April 2016.

- **Habitat II accredited organizations and Post-2015 Summit**: Organizations accredited to Habitat II and the United Nations summit for the adoption of the post-2015 development agenda, whose work is relevant to the subject of the Conference and that wish to participate in the Conference or in sessions of its Preparatory Committee, should pre-register on the website of the Conference from April 2016.
Stakeholders’ special accreditation

- Those non-governmental organizations and major groups not in consultative status with the Economic and Social Council but wishing to attend and to contribute to the Conference and its preparatory process may apply to the Conference secretariat for that purpose.
- Such special accreditation will be limited solely to the Conference and its preparatory process.
- An organization that has been granted accreditation to attend a session of the Preparatory Committee may attend all of its future sessions and the Conference itself.

- Special accreditation for PrepCom3: deadline 1 April 2016
- Special accreditation for Habitat III Conference: 2 May 2016
Requirements for special accreditation

The application requires the submission of the following information:

(a) Name of the organization and pertinent contact information, such as address and main contact details;
(b) Purpose of the organization;
(c) Programmes and activities of the organization in areas relevant to the subject of the Conference, indicating in which country or countries they are carried out;
(d) Confirmation of the activities of the organization at the national, regional or international levels;
(e) Copies of annual or other reports of the organization, with financial statements and a list of financial sources and contributions, including governmental contributions;
(f) A list of the members of the governing body of the organization and their countries of nationality;
(g) A description of the membership of the governing body of the organization indicating, as appropriate, the total number of members and, where applicable, the names of organizations that are members and their geographical distribution;
(h) A copy of the constitution and/or by-laws of the organization;
(i) A completed online registration form.
Approval of special accreditation

- Applications for special accreditation should be made online at the website of the Conference.
- The Conference secretariat, with support from the United Nations Non-Governmental Liaison Service and others, as appropriate, will review the relevance of the work of the applicants on the basis of their background and involvement in sustainable urban development issues.
- If the evaluation shows, on the basis of the information provided, that the applicant organization is competent and its activities relevant to the work of the Conference, the Conference secretariat will recommend to the Preparatory Committee, for its decision, the accreditation of the organization.
- In cases where such recommendation is not made, the Conference secretariat will make available to the Preparatory Committee the reasons why.
- The Conference secretariat will submit its recommendations to the Preparatory Committee for review and consideration by participating States on a non-objection basis.
- The list of proposed as well as final names will be brought to the attention of the Conference.
- The general basis of any objections shall be made known to the bureau by the participating States.
Calendar for stakeholders’ accreditation

- ECOSOC status, Habitat II and Post-2015 Summit: on-line registration from April 2016 for the PrepCom3 and the Habitat III Conference
- Special Accreditation:
  - **PrepCom3:**
    On-line submission from February to 1 April 2016.
    Revision by the Secretariat finalized by 15 May 2016.
    Recommendations to the Bureau in May 2016.
    Consultations with Member States in June 2016.
    Submission to the Preparatory Committee in July 2016

  - **Habitat III Conference:**
    On-line submission from March to 2 May 2016.
    Revision by the Secretariat finalized by 1 June 2016.
    Recommendations to the Bureau in June 2016.
    Consultations with Member States in July and August 2016.
    Submission to the Conference in October 2016.
5. Operations
ADECUACIÓN
CASA DE LA CULTURA ECUATORIANA

CARACTERÍSTICAS GENERALES

Área Total
85,000 m²

Casa de la Cultura Ecuatoriana
50,000 m²

Parque El Arbolito
35,000 m²
ADECUACIÓN
CASA DE LA CULTURA ECUATORIANA
ADECUACIÓN
CASA DE LA CULTURA ECUATORIANA
Expo Habitat and Habitat Village
7. Activities in New York/Brussels/Quito/Nairobi
GOOD MORNING NEW YORK!

Join the URBAN THURSDAY BREAKFASTS

Every third Thursday of the month, we are having breakfast together to reflect on the New Urban Agenda.

In collaboration with FORD FOUNDATION
THANK YOU
FOR YOUR ATTENTION!

habitat3.org

habitat3secretariat@un.org