UN-Habitat Draft Work Programme and Budget 2016 – 2017

Meeting of the CPR Subcommittee on Finance and Administration

Friday, 14th November 2014
Background

- Post-2015 sustainable development agenda
- Climate changer Summit: Cities a priority action area
- 1st ECOSOC segment on sustainable urbanization
- Habitat III – urbanization, human settlements

High Level Committee on Programming: *Towards a New United Nations Urban Agenda* – UN system-wide approach to implement sustainable urbanization dimensions of post-2015 development agenda and outcomes of Habitat III

- Strategic plan
- Coordinated coherent multi-dimensional programming
- National ownership: Habitat Country Documents → UNDAF
- Deeper broader partnerships
- New mandates
- Strengthened monitoring, reporting, evaluation
- Enhanced management tools, efficient business processes
1. The work programme and budget

In the UN Secretariat, the work programme and budget is the biennial document that translates legislative mandates into outputs and defines the resources required to deliver them and their sources.
2. GC Resolution 24/15 requests the ED

“...to consult with the Committee of Permanent Representatives during the preparation of the results-based strategic framework and work programme and budget documents for the biennium 2016-2017 and to ensure that the two exercises are aligned with the strategic plan for 2014-2019 (para. 5)

“...prioritized, results-oriented and streamlined programme of work and budget...” (para 17)
3. Support Guide for preparing the Work Programme and Budget

- Preparation of the work programme and budget is guided by Support Guide from the UN Controller available on:


Strategic Plan 2014-2019 discussed and finalized with CPR. Main sections:
- Vision, Goal, Mission
- Strategic Result
- 7 Focus areas/SubP
- Cross-cutting issues


SP 2014-2019 operationalized through:
1. Results Framework
2. Performance Measurement Plan


CPR subcommittee provided inputs. SF includes:
1. Overall orientation
2. For each Subprogramme:
   - Logframe (objectives, EAs, indicators)
   - Strategy

Regular CPR endorses Strategic Framework.

CPC reviews Strategic Framework for UN GA.
SF 2016-2017 recommended by CPC in June 2014.

CPR subcommittee reviews WP&B and provides inputs.

WP & Budget contains: SF, outputs, performance measures, resources and their sources. CPR subcommittee reviews WP&B and provides inputs.

Regular CPR endorses WP&B.

ACABQ reviews WP&B for approval by GC and GA.

GC approves WP&B before GA final approval.
5. Implementing Strategic Plan for 2014-2019

- Six-Year Strategic Plan 2014-2019
- Strategic Frameworks 14-15; 16-17;
- Work Programme & Budget 14-15; 16-17
- Projects and Programmes

We are here now


Implemented through 3 consecutive Strategic Frameworks and Work Programme and Budgets (2014-2019).

SF includes:
- Overall orientation
- Each Subprogramme:
  - Logframe has:
    - Objectives
    - Expected Accomplishments
    - Indicators
  - Strategy

Strategic Framework 2016-2017 endorsed by the Committee on Programme and Coordination (CPC) in June 2014 - with amendments
8. The Work Programme and Budget

- WP & Budget builds on the CPC endorsed Strategic Framework
- Includes additional elements and covers all divisions and offices
- Includes resources and their sources

9. Key Planning Documents

- 6 year strategic plan
  - Vision, Mission, Goal,
  - 7 Focus areas
  - Strategic results
  - Strategies

- Biennial Strategic Framework
  - Overall orientation
  - Objective
  - Strategy
  - Expected Accomplishments
  - Indicators

- Biennial Work programme and Budget
  - Programme Overview
  - Subprogramme logframe:
    - Objectives
    - Expected Accomplishments
    - Indicators
  - Indicator baselines and targets
  - External factors
  - Outputs
  - Resources and their sources
10. Structure of Biennial Work Programme & Budget

- Introduction
- Programme Overview/Overall orientation (paragraph 25 & 28 new)
- Policy-making organs
- Executive direction and management
- Programme of work:
  - Subprogramme 1: Urban legislation, land and governance;
  - Subprogramme 2: Urban planning and design;
  - Subprogramme 3: Urban economy;
  - Subprogramme 4: Urban basic services;
  - Subprogramme 5: Housing and slum upgrading;
  - Subprogramme 6: Risk reduction and rehabilitation;
  - Subprogramme 7: Research and capacity development

- Programme Division
- Management and Operations Division (Programme Support)
- Resources and their sources
11. Requests the CPR Subcommittee to:

Review the following elements of the draft work programme, provide inputs and endorse it:

- Introduction
- Programme Overview (2 new paragraphs)
- Policy making organs
- Executive direction and management
- New Subprogramme elements:
  - Baselines and targets
  - External factors
  - Outputs
- Programme Division
- Programme Support (Management and Operations Division)
12. Next steps

- CPR endorses proposed WP&B – December 2014
- Draft WP&B submitted to UN Controller – By 9 January 2015
- ACABQ considers proposed WP&B – Dec 2014/January 2015
- GC approves proposed WP&B – April 2015
13. Next steps: Overall UN Process

2014

10 January
Dept. BPP Proposals to PPBD

31 March
Strategic Framework sent to DGACM

2015

9 January
Dept. PPB Proposals to PPBD

10/1-28/2
Working sessions to finalize Strategic Framework

10 October
PPB 16-17 Support Guide
PPB preparation (incl. SF and financial resources)

CPC 54th session considered Strategic Framework

Budget Outline preparation

ACABQ considers Budget Outline

Budget Outline

5th Comm. considers Budget Outline

UNGA 60th session
5th Committee considers SF 16-17 and other proposals

UNGA 70th Session
5th Committee considers PPB 16-17 and other reports

CPC considers revised SF (if any) and budget proposals

Budget proposals sent to DGACM

Proposed Programme Budget
Budget Structure

- **Regular budget**: assessed contributions to United Nations allocated to Section 15 on Human Settlements

- **Foundation General Purpose** - non-earmarked voluntary contributions for core normative and executive management and direction functions

- **Foundation Special Purpose** - voluntary earmarked contributions for specific normative activities

- **Technical Cooperation** – voluntary earmarked contributions towards specific activities at regional and country levels
GA Resolution 67/226

29. Also recognizes the overall positive trends of funding for United Nations operational activities in the period from 1995 to 2010, and notes with concern the decline in official development assistance in 2011 as well as the imbalance between core and non-core resources;

40. Recognizes that Member States and the United Nations development system should prioritize the allocation of core/regular resources and non-core resources that are more predictable, flexible, less earmarked and better aligned with the priorities of programme countries, including those included in the United Nations Development Assistance Framework, and with the strategic plans and mandates of United Nations funds, programmes and specialized agencies;

41. Encourages the governing bodies of the United Nations funds, programmes and specialized agencies, as appropriate, to ensure that all available and projected core and non-core resources are consolidated within an integrated budgetary framework, based on the priorities of their respective strategic plans;
2014-15 Budget Allocation

Normative and coordination 48%

Technical cooperation 52%

Non earmarked 17%

Earmarked 87%
Contributions for programme of work 2008-2014

Received contribution by Funding Source

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Contributions for programme of work

In USD Millions

- Coordination and Normative
  - 2008: 74
  - 2009: 72
  - 2010: 66
  - 2011: 56
  - 2012: 56
  - 2013: 66
  - Oct. 2014: 60
2016-17 proposed budget levels

↓ **Regular budget**: US$21.1m;
   - Umoja savings **(69,600)**

— **Foundation General Purpose**: US$45.6 m

↑ **Foundation Special Purpose**: *being finalized*

↑ **Technical Cooperation**: approx. 40% increase
   - Demand for UN-Habitat support at country level
   - Significant expansion in Arab States
   - moderate increase in other regions
2016-17 Foundation General Purpose

Foundation General Purpose

- Approved by the Governing Council
- Proposed at same level of 2014-2015
- Takes account of possible new mandates from post-2015 sustainable development agenda and Habitat III
- Resource mobilization efforts start to yield fruits in core budget
- Prioritized programme of work if income targets not reached
Detailed CPR discussion on budget

24 November 2014

- Finalized budget levels for all funds
- Distribution of budget across seven sub programmes
- Budget for Executive Direction and Management and Programme Support
- Post and non post costs
- Comparison with previous biennia
- Distribution of posts by source of funds and post grade
Thank You for Your Attention