Urban Planning and Design
Strategies, Resolutions, Modalities, Resources

CPR Briefing
14 Oct. 2013
Overview
Urban Planning and Design (SP2)

• Key Issues and Overall Strategic Result
• Sub EAs and Implementation Modalities
• Progress in implementing GC Res. 24/3 and 24/5
• Human and Financial Resources
• Joining Forces: Internal and External
• Opportunities, Challenges and Critical Factors
• Next Steps and Calendar
“Business as usual” versus “Sustainable Urban Development”

Urban Sprawl > Compact

Segregation > Integrated

Congestion > Connected
“City, regional and national authorities have adopted improved policies, plans and designs for more compact, socially inclusive, better integrated and connected cities that foster sustainable urban development and are resilient to climate change.”
<table>
<thead>
<tr>
<th>Improved national urban policies and spatial frameworks for compact, integrated and connected cities adopted by partner metropolitan, regional and national authorities</th>
<th>Baseline:</th>
<th>Provisional Baselines and Targets</th>
<th>Total # of Policies, Plans, etc.</th>
<th>Illustrative Outputs</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Target 2015:</td>
<td>4</td>
<td></td>
<td>UN Global Guidelines on Urban and Territorial Planning</td>
</tr>
<tr>
<td></td>
<td>Target 2017:</td>
<td>12</td>
<td></td>
<td>Regional Platforms to support National Urban Policies</td>
</tr>
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<td></td>
<td>Target 2019:</td>
<td>20</td>
<td></td>
<td>Advisory services on national urban policies, regional and metropolitan planning and green economy issues</td>
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<td></td>
<td></td>
<td>30</td>
<td></td>
<td>Capacity development events on national urban policy and Regional and Metropolitan Planning</td>
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<td></td>
<td>Field projects on National Urban Policy and Regional and Metropolitan Planning</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Improved policies, plans and designs for compact, integrated and connected cities and neighborhoods adopted by partner cities</th>
<th>Baseline:</th>
<th>Provisional Baselines and Targets</th>
<th>Total # of Policies, Plans, etc.</th>
<th>Illustrative Outputs</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Target 2015:</td>
<td>10</td>
<td></td>
<td>Policy guidance on key topics related to sustainable urban planning and design</td>
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<tr>
<td></td>
<td>Target 2017:</td>
<td>30</td>
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<td>Technical guidelines on key topics related to sustainable urban planning and design</td>
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<tr>
<td></td>
<td>Target 2019:</td>
<td>50</td>
<td></td>
<td>Advisory services on urban planning and design issues at the request of the cities</td>
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<tr>
<td></td>
<td></td>
<td>70</td>
<td></td>
<td>Capacity development on sustainable planning principles for different groups of stakeholders (planners; leaders; communities)</td>
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<td></td>
<td></td>
<td></td>
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<td>Field projects introducing innovations in Urban Planning and Design</td>
</tr>
</tbody>
</table>

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<thead>
<tr>
<th>Improved policies, plans and strategies that contribute to the mitigation of and adaptation to climate change adopted by partner city, regional and national authorities</th>
<th>Baseline:</th>
<th>Provisional Baselines and Targets</th>
<th>Total # of Policies, Plans, etc.</th>
<th>Illustrative Outputs</th>
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<tbody>
<tr>
<td></td>
<td>Target 2015:</td>
<td>15</td>
<td></td>
<td>Policy guidance on key topics related to cities and climate change</td>
</tr>
<tr>
<td></td>
<td>Target 2017:</td>
<td>20</td>
<td></td>
<td>Capacity-building tools on key aspects of cities and climate change</td>
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<tr>
<td></td>
<td>Target 2019:</td>
<td>25</td>
<td></td>
<td>Advisory services on cities and climate change and biodiversity issues</td>
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<tr>
<td></td>
<td></td>
<td>30</td>
<td></td>
<td>Capacity development events on cities and climate change at national and local level</td>
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<td></td>
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<td></td>
<td>Field projects on cities and climate change at national, regional and city level</td>
</tr>
</tbody>
</table>
EA1: Improved national urban policies and spatial frameworks

Modalities:

- Develop International Guidelines on Urban and Territorial planning (Res. GC24/3): Opportunities to link with ongoing and upcoming urban planning projects in all regions.

- Support the development of National Urban Policies (NUP) (Res. GC24/5): Requests for support have been received from 20+ countries, with special interest from Africa and Arab States.

- Metropolitan Planning: Exchange of experiences amongst emerging economies, including from Latin America, China and Southeast Asia.

- Urban Patterns for a Green Economy: Follow up of requests (e.g. China, Ecuador, South Africa) based on publication launched at Rio+20.
National Urban Policy

Impact of Urbanisation in economic development

Drivers of urbanisation

“Urban footprint” in national budgets and legislation

National Spatial Framework
- Urban functions and hierarchies
- Determinants for a “System of Cities”
- Territorial prospective

Fundamentals of Urbanisation
- Compact, Connected, Integrated & Inclusive cities
- Urbanisation Blueprint (criteria on street patterns frame, plotting, building rules)

National Urban Policy (NUP)
- Goals, strategy and instruments
- Budget, monitoring

NUP Instruments

Planning & spatial instruments
Regulatory & Economic Instruments
Localized Instruments
Criteria for urban sectoral policies
**EA2: Improved policies, plans and designs for “CICI” cities**

Modalities:

- Expand work on Planned City Extensions (*Res. GC24/5*). Responding to requests from 30+ cities.
- Rapid Urban Planning Studios to explore scenarios for urban expansion
- Capacity development on key topics related to sustainable urban planning and design for planners associations, local leaders and communities
- Special focus on Intermediate Cities and market towns, with UCLG, IFAD
- Support to Design and Revitalization of Public Spaces (*Res. GC23/4*): Public space network and Future of Places conference series
- Guidelines for planning of sustainable “New Cities” in response to demand from Arab States and elsewhere.
Planned City Extensions

UN-Habitat's Planned City Extension (PCE) approach

five strategies

1. Urban extension areas
2. Infill and Densification
3. Connectivity and public spaces
4. Peripheral poles
5. New towns

1. Intermediate cities/Market cities
2. Large cities with low density (inefficient land uses)
3. Different scales of cities
4. Metropolitan and city-regions with very large populations
5. Non urbanised regions
EA3: Improved strategies for cities to mitigate and adapt to climate change

Modalities:

- Urban Climate Change Planning: support intermediate cities and expand collaboration with UNEP on ecosystem-based adaptation
- Urban Low Emission Development Strategies: Further expand collaboration with EC and ICLEI, particularly in emerging economies
- Expand collaboration with partners on Urban Agriculture and in the context of climate change and loss of biodiversity
- Implement Res. GC22/3 and the recommendations of and the Cities and Climate Change (CCCI) Mid-Term Evaluation, incl. expanding to LAC region and Arab States
- Enhancing Cities’ Access to Climate Change Financing: Pursue opportunities for UN-Habitat to act as bridge between cities and climate change financing
Enhancing the transition to low emission urban development in emerging economy countries

Urban Climate Change Mitigation in Emerging Cities

Capacity Building, tool development, Technical and Financial Solutions, Networking and Advocacy

Partnership with EC and ICLEI

Model Cities:

- **Brazil:** Recife & Fortaleza
- **South Africa:** Steve Tshwete & KwaDakuza
- **Indonesia:** Bogor & Balikpapan
- **India:** Thane & Rajkot

Additional 5 Satellite Cities in each country

20 Reference and Model Cities in Europe
Implementing Res. 24/3
Inclusive and sustainable urban planning and elaboration of international guidelines on urban and territorial planning

- **OP4.** Requests the Executive Director ... in consultation with the Committee of Permanent Representatives to initiate the elaboration of **international guidelines on urban and territorial planning** that will provide a global framework for improving policies, plans and designs for more compact, socially inclusive, sustainable, better integrated and connected cities and territories and to present the draft guidelines to the Governing Council at its twenty-fifth session for approval;
Implementing Res. 24/3
Inclusive and sustainable urban planning and elaboration of international guidelines on urban and territorial planning

- **OP8.** 8. Requests the Executive Director of the United Nations Human Settlements Programme, in consultation with the Committee of Permanent Representatives, in the drafting of international guidelines on urban and territorial planning **to engage in an inclusive consultative process** with the regional offices of the United Nations Human Settlements Programme, national Governments, international associations of local authorities, including United Cities and Local Governments, all relevant United Nations organizations, international finance institutions, development agencies, relevant international professional associations and members of the Habitat Professional Forum, including the International Society of City and Regional Planners and non-governmental organizations, and other national and international stakeholders, in order to inform the drafting process with best practices and lessons learned from different contexts and at different scales;
Implementing Res. 24/3
Inclusive and sustainable urban planning and elaboration of international guidelines on urban and territorial planning

PROGRESS:

- Background note produced, including rationale, scope and possible draft structure of the international guidelines
- Ad-Hoc Expert Group members nominated by Member States and relevant organizations and appointed by Executive Director
- Countries: Japan, China, Indonesia; Brazil; Tanzania, Ghana, Uganda, Zimbabwe; Morocco; Norway, Germany, France, Belgium
- Organizations: UNCRD, WB, OECD, ISOCARP, UCLG, ISO, RSA, FIABCI, ENDA-TM, Habitat Partners Universities
- Four meetings of Ad-Hoc Expert Group: 1st meeting 24-25 October 2013 in Paris hosted by France; 2nd meeting tentatively scheduled at WUF 7 in Medellin; Japan has expressed interest in hosting 3rd meeting around Oct 2014; 4th meeting may be held around GC25.
Implementing Res. 24/5  
Pursuing sustainable development through national urban policies

- **OP2.** Requests the Executive Director in consultation with the Committee of Permanent Representatives to develop a general guiding framework for the development, where appropriate, of national urban policies, based on international good experiences, to further support member States when developing and improving their urban policies;

**PROGRESS:**
- Working paper with conceptual framework and roadmap
- Seminar with representatives from 4 international research institutes and participants from 10 African countries in April 2013
- In depth comparative study of 5 national urban policies (20 countries shortlisted) with funding from Cities Alliance – to be presented at Nov. 2013 CA meeting
- Responding to demand from countries, including Lebanon, Rwanda, Saudi Arabia, Zambia
Implementing Res. 24/5
Pursuing sustainable development through national urban policies

- **OP4.** Encourages Governments and Habitat Agenda partners to use planned city extension methodologies to guide the sustainable development of cities experiencing rapid urban growth, to prevent slum proliferation, enhance access to basic urban services, support inclusive housing, enhance job opportunities and create a safe and healthy living environment;

**PROGRESS:**
- Working paper with conceptual framework developed
- Requests to support Planned City Extensions from 30+ cities.
- International Expert Group Meeting with Communique on Planned City Extensions and capacity development for UN-Habitat country staff
- Start of work in cities in Rwanda, Mozambique, Zambia, Colombia and the Philippines
Implementing Res. 24/5
Pursuing sustainable development through national urban policies

- **OP5.** Invites the Executive Director to establish strategic partnerships towards strengthening the contribution of UN-Habitat to the implementation of the ten-year framework of programmes on sustainable consumption and production patterns adopted at the 2012 United Nations Conference on Sustainable Development, including its programme on sustainable buildings and construction, and also to consider how to promote a supportive urban infrastructure for sustainable lifestyles through national spatial frameworks, including urban-rural linkages;

**PROGRESS:**
- Participation in UN Inter-agency Coordination Group led by UNEP
- Member of “Sustainable Buildings and Construction” Advisory Group
- Concept note developed on “Beyond Urban Sprawl: Sustainable Land-use in Urban Areas”
- Staff training seminar on “Making room for a planet of cities”
Urban Planning and Design Branch

Regional and Metropolitan Planning Unit
- National Urban Policies
- Regional planning
- City-region Planning
- Intermediate Cities Planning
- Urban Development Corridors

City Planning, Extension and Design Unit
- Planned City Extensions
- City-wide Planning
- Urban Densification Plans
- Neighbourhood Design
- Public Space Design

Climate Change Planning Unit
- Urban Climate Change Adaptation and Mitigation Plans
- City Greenhouse Gas Emissions Assessments
- City Climate Change Vulnerability/Risk Assessments
- Urban Low Emission Development Strategies
- Urban Dimension of National Adaptation Plans

+ Focal Point Branch for
  - UNEP,
  - UNFCCC, UN-CBD , IPCC, IPBES,
  - GEF, AFB,
  - ICLEI, nrg4SD,
  - ISOCARP, CAP,
  - SDGs
Urban Planning and Design Branch: Human Resources (Q4, 2013)

- Core Professional Staff: 5
- Project Professional Staff: 4
- Core Support Staff: 3
- Project Support Staff: 2
- JPOs: 3
- UNV: 1
- Long-term Consultants: 4
- Interns: 4

Total: 26

- **Includes** all staff reporting to the Branch, both at HQ and outside
- **Excludes** UPD project staff reporting to Regional Offices
## Budget 2006-2013
### Direct implementation by UPDB

<table>
<thead>
<tr>
<th>Year</th>
<th>Amount</th>
<th>Programmes</th>
<th>Sources</th>
</tr>
</thead>
<tbody>
<tr>
<td>2006</td>
<td>USD 1,824,000</td>
<td>SCP, LA21, CDS</td>
<td>Netherlands, Belgium, Sweden</td>
</tr>
<tr>
<td>2007</td>
<td>USD 1,678,000</td>
<td>SCP, LA21, CDS</td>
<td>Netherlands, Belgium, Sweden</td>
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<tr>
<td>2008</td>
<td>USD 3,811,000</td>
<td>CCCI, SCP, LA21, CDS</td>
<td>Netherlands, Belgium, Sweden, Norway</td>
</tr>
<tr>
<td>2009</td>
<td>USD 3,759,000</td>
<td>CCCI, CDS</td>
<td>Norway, Sweden, CA, Dev. Acc.</td>
</tr>
<tr>
<td>2010</td>
<td>USD 3,705,000</td>
<td>CCCI, CDS, FA2 Urban Planning</td>
<td>Norway, Sweden, CA, Dev. Acc., FD</td>
</tr>
<tr>
<td>2011</td>
<td>USD 2,727,000</td>
<td>CCCI, FA2 Planning, FA2 Urban Planning</td>
<td>Norway, Sweden, Spain, CA, Dev. Acc., FD</td>
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<tr>
<td>2012</td>
<td>USD 4,668,000</td>
<td>Urban LEDS, CCCI, FA2 Urban Planning</td>
<td>European Commission, Norway, Sweden, Spain, Cities Alliance, Siemens, Booyoung</td>
</tr>
<tr>
<td>2013</td>
<td>USD 6,307,000</td>
<td>Urban LEDS, CCCI, FA2 Urban Planning</td>
<td>European Commission, Norway, Sweden, Spain, France, Cities Alliance, Siemens, Booyoung</td>
</tr>
</tbody>
</table>

The total project portfolio of Urban Planning and Design to be executed as at 5 June 2013 is $30.9m. This portfolio is being implemented by UPDB and the UN-Habitat Regional Offices.
## Financial Portfolio by Region
### Urban Planning and Design

5 June 2013

<table>
<thead>
<tr>
<th>PORTFOLIO (US$)</th>
<th>Africa</th>
<th>Arab States</th>
<th>Asia &amp; Pacific</th>
<th>LAC</th>
<th>Europe</th>
<th>Worldwide</th>
<th>TOTAL</th>
</tr>
</thead>
<tbody>
<tr>
<td>CCP (EA3)</td>
<td>134,108</td>
<td>0</td>
<td>-20,177</td>
<td>0</td>
<td>0</td>
<td>6,883,570</td>
<td>6,997,501</td>
</tr>
<tr>
<td>CPE (EA2)</td>
<td>-138,026</td>
<td>9,145,857</td>
<td>10,054,285</td>
<td>-26</td>
<td>3,149,368</td>
<td>592,200</td>
<td>22,803,658</td>
</tr>
<tr>
<td>RMP (EA1)</td>
<td>814,491</td>
<td>-106,715</td>
<td>0</td>
<td>17,555</td>
<td>0</td>
<td>0</td>
<td>725,331</td>
</tr>
<tr>
<td>Urb Env (EA1 &amp; EA3)</td>
<td>-2</td>
<td>0</td>
<td>12,100</td>
<td>-262,987</td>
<td>0</td>
<td>673,155</td>
<td>422,266</td>
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<tr>
<td><strong>TOTAL</strong></td>
<td><strong>810,571</strong></td>
<td><strong>9,039,142</strong></td>
<td><strong>10,046,208</strong></td>
<td><strong>-245,458</strong></td>
<td><strong>3,149,368</strong></td>
<td><strong>8,148,925</strong></td>
<td><strong>30,948,756</strong></td>
</tr>
</tbody>
</table>
Regional Spread

The Urban Planning and Design Branch is working with UN-Habitat Regional Offices in 39 countries in all major regions:

- **Africa** (15): Benin, Burkina Faso, Cap Verde, Chad, Kenya, Mozambique, Namibia, Nigeria, Rwanda, Senegal, Sudan, Tanzania, South Africa, Uganda, Zambia
- **Arab States** (6): Egypt, Iraq, Lebanon, Libya, Palestine, Somalia
- **Asia and the Pacific** (13): Cambodia, China, Fiji, India, Indonesia, Mongolia, Nepal, Papua New Guinea, Philippines, Samoa, Sri Lanka, Vanuatu, Vietnam
- **Europe** (1): Kosovo (within context of UN Security Council Res. 1244 of 1999)
- **Latin America and the Caribbean** (4): Brazil, Costa Rica, Colombia, Ecuador

**Portfolio Development**

- DRC
- Liberia
- Malawi
- Saudi Arabia
- Bangladesh
- Pakistan
- Belarus
- El Salvador
Main Partners: Internal & External

**Internal**
- National Urban Policies – enhanced collaboration with all branches
- Metropolitan Planning – with Urban Governance Unit
- Planned City Extensions – with Urban Legislation Unit, UBSB
- Measuring Urban Sprawl – with GUO
- Public Space – with Urban Mobility
- Climate Change planning – links with Land Unit, RRR - CRPP

**External**
- National Urban Policies - with Cities Alliance + SAF, KOR, COL, AUS inst.
- Measuring sprawl - with Lincoln Land Institute and Concordia University
- Planned city extensions - with local governments and devpt banks
- Intermediate cities and market towns - with UCLG, IFAD
- Capacity development – with Siemens, City Net, Planning Schools
- GHG community protocol – with World Bank, UNEP, Cities Alliance
- Low emission urban development strategies – with EC, ICLEI
- Urban SDG – with Rockefeller F, Ford F, ICLEI, UCLG, Cities Alliance
Opportunities

- Current support from Norway, Sweden, Germany, France, Korea, Japan, Australia, European Commission
- Emerging opportunities for in-country UPD activities in Brazil, China, Nigeria, Saudi Arabia
- “Urban Planning for City Leaders” as lead guidebook
- Joint global protocol on GHG emissions at the city level
- CEB-HLCP task team on ‘Climate Smart Cities’ led by UN-Habitat
- 10YFP on Sustainable Consumption and Production: possible new programme on “Beyond Urban Sprawl”
- GEF 6 possible Signature Programme on Sustainable Cities may provide window to work on integrated urban systems
- Cities and Climate Change Strategy 2014-19
Challenges

- Developing an operational system to measure and monitor the attributes of sustainable urban planning and design: “more compact, socially inclusive, better integrated and connected”
- Implementing resolutions adopted at GC 24 will require additional staff support – which is difficult at a time of shortage of core resources
- Agency-wide core functions (Rio+20 follow up, UNEP coordination) absorb significant amount of core staff resources
- Additional human resources through project staff, consultants on retainer contracts, staff secondment, internships, collaboration with professional associations
- Climate change is an effective entry point for urban planning and design work; more difficult to raise resources for basic capacity development and institutional reform on urban planning and design in LDCs and developing countries
Critical Factors

- New internal mechanisms required for:
  - accounting for joint portfolio development;
  - inter-branch agreements for multi-theme projects;
  - posting of thematic staff in regional offices
- Vigorous implementation of resources mobilization strategy required to regain influence in urban sector
- Establishment of effective inter-agency collaboration mechanism in the lead up to Habitat III
- Recognition of critical role of cities and sustainable urbanization issues in the context of the Post-2015 Development Agenda including Sustainable Development Goals (Res. GC24/10)
Next Steps – Calendar

- **October 19-20**: Future City initiative, forum by Government of Japan, Kitakyushu, Japan
- **October 28-30**: Capacity Development Workshop on Cities and Climate Change in Africa, Nairobi
- **November 4**: National Urban Policies, study results at Cities Alliance meeting, Burkina Faso
- **November 5**: Enhanced collaboration with UNEP: review and forward looking meeting, Nairobi
- **November 21**: Subnational Day on Climate Change at UNFCCC COP 19, Warsaw
- **December 5-6**: SIDS meeting Land-use planning and climate change, Saint Lucia
- **Jan 6-10, 2014**: Open Working Group on SDGs session on Sustainable Cities, New York
- **April 5-11, 2014**: WUF 7 Dialogue on: Spatial Fix: “Spatial Fix - Urban Planning and Design for Social Cohesion”, Medellin
Dialogue:
“Spatial Fix - Urban Planning and Design for Social Cohesion”

- Which **innovations** in spatial planning address social inclusion and cohesion in different contexts?
- How can social mix be achieved through the **typology** of city extensions and urban revitalization initiatives?
- What are the appropriate scale levels and **institutions** to promote social cohesion through spatial planning?
- How can social integration programmes be **financed** in a sustainable manner?
Thank you!

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