PARTICIPATORY SLUM UPGRADING PROGRAMME (PSUP) PHASE II WORKSHOP

STRATEGY AND POLICY SETTING

REPUBLIC OF KENYA

Premier Accra Airport Hotel, Accra Ghana,
19th - 24th September 2011
# TEAM MEMBERS

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<th>Institution</th>
<th>Role (PSUP)</th>
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Other team members include Surveyors & Planners from the UDD and other Line Ministries and Local Authorities staff.
PSUP PROGRAMMES

1. Nationwide urban centres inventory and basic profiling – test case of Nakuru County and an in depth profiling of five (5) town
2. Citywide – Govt. initiative for the Digital Mapping and preparation of Integrated Strategic Urban Development Plans (33 Towns)
3. Slum upgrading project for Mtwapa town (11 slums)
Status of the PSUP implementation (Nation wide)

1. Urban centres inventory and basic profiling for which a test case of Nakuru County has been completed. Achievements include:
   - Definition of an “urban centre”; working definition
   - Questionnaire for basic profiling
   - Mapping strategy of urban centres for the rest of the country for the inventory: use of GPS, digitized topo sheet, satellite imagery including google earth
   - Inform the new Urban and Cities Act
   - Feeds into ongoing National Urban Development Policy
Status of the PSUP implementation (City wide)

Digital Mapping and Preparation of Integrated Strategic Urban Development Plans (ISUDP):
  o 5 Towns completed funded by GOK
  o 7 Towns currently in progress funded by GOK
  o 9 Towns to be initiated procurement of consultants in progress: GOK funded
  o 12 Towns to be initiated with funding from World Bank under KMP Programme
  o ISUDP helped inform the new Urban and Cities Act
3. Pilot slum upgrading project proposal for eleven (11) slums in Mtwapa Town. Achievements include:
   o Digital spatial data
   o Planning, development and implementation strategies
   o Resource mobilization
Main stakeholders

- Line ministries (Ministry of Local Government, Ministry of Lands and Settlement, Ministry of Housing, Ministry of Planning)
- Government agencies including Kenya railways, Kenya Power & Lighting Company, Roads Authorities etc.
- Local Authorities (Town, Municipal and County Councils) and the new county governments created under the new constitution.
- Local Community (residents, Faith Based Organizations, other Community Based Organizations etc)
- Development Partners: PSUP, AFD, World Bank, Italian Cooperation, SIDA and many more
Findings of the Urban centres inventory and basic profiling. Test case of Nakuru County:

- Most of the centre’s main function was agricultural and trade
- Some of the centres lack official recognition as urban centres by the Local Authorities
- Most centres are informal in nature lacking plans
- Most centres are linear and tend to follow the main roads
- Many centres not in existing maps

Data findings on slum, city and national levels for the PSUP (National level)
Data findings on slum, city and national levels for the PSUP (City-wide level)

Digital Mapping and Integrated Strategic Urban Development Planning:
- Non adherence to Council regulations
- Capacity gaps at the Councils
- Non optimization of the councils revenue collection potential
- Lack of planning data and updated spatial data
Data findings on the situation of the slums in Mtwapa:

- Poor infrastructure
- Insecurity of tenure
- Poor sanitation
- Poverty & unemployment
- Poor waste management
- Small scale businesses
- Mud walled houses
- Residents’ willingness to participate in improvement
Challenges faced (National level)

- Outdated spatial data
- Capacity gaps at the Local Authorities
- Lack of official recognition of urban centres
- Definition of informal settlement UN HABITAT vis-à-vis the local context
- Funding/Resources
Challenges faced (City-wide level)

- Lack of updated data
- Capacity gaps
- Institutional disconnect
- Funding/Resources
Challenges faced (Community level)

- Community expectations
- Poverty
- Political interference
- Funding
- The issue of tenure
- Poverty & unemployment
- Poor infrastructure
How to overcome the challenges (National level)

- Enhance the technical capacity of local authorities
- Need for official recognition of upcoming urban centres
- Adoption and application of new technology and more recent sources of spatial data to acquire and update existing data
- Resource mobilization to scale up the project: outputs and in-depth profiling
- Constitution transition period: devolution structures still under development

Adoption of GIS in urban management
How to overcome the challenges (City-wide level)

- Building the technical capacity at the local authority level
- Harmonization of institutions
- Central harmonized data bank and regular updating of data
- Coverage/up-scaling: need for planning of more towns
- Constitution transition period
How to overcome the challenges (Community level)

- Concerned government agencies and institutions to work on modalities to secure tenure for slum dwellers
- Improvement of the infrastructure
- Encouragement of small scale investments
- Develop effective waste management systems
- Resource mobilization to implement the development proposals
The Team’s expectations from this regional workshop

- Gain experience from other PSUP countries in:
  - Methodology
  - Improvement of ongoing initiatives
- Identify any gaps that might need filling
- Scale up activities in informal settlement upgrading
- Get advice on resource mobilization strategies for new projects
Any message or question to other PSUP country teams?

• How can PSUP complement other ongoing initiatives?: Initiatives started by Governments and other agencies; that need scaling up/replicating

• Flexibility of the PSUP Profiling Methodology: For Ongoing/completed initiatives that used other methodologies, can the PSUP methodology be used to verify previous profiling?
Thank you!